

SRE0001-US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

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In the Application of:

KRAEHENBUEHL, ET AL.

Serial No.: 09/967,045

Art Unit: 2166

Filed: October 1, 2001

Examiner: Not Yet Assigned

For: ON-LINE REINSURANCE  
CAPACITY AUCTION SYSTEM  
AND METHOD

## THIRD INFORMATION DISCLOSURE STATEMENT

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Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each reference is enclosed herewith.

The undersigned believes that this Third Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Accordingly, Applicants do not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Third Information Disclosure Statement is filed, please accept this Third Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1390, under Order No. SRE0001-US and proceed to consider this Third Information Disclosure Statement.

03/09/2004 SSANDARA 00000002 501390 09967045

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Serial No.: 09/967,045  
Art Unit: 2166

Attorney's Docket No.: SRE0001-US  
Page 2

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

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Date: March 8, 2004

Respectfully submitted,

KRAEHENBUEHL, ET AL.

By:



Michael D. Bednarek  
Registration No. 32,329

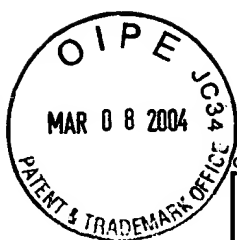
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Document #: 1274959 v.1



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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO		<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/967,045		
		Filing Date	October 1, 2001		
		First Named Inventor	KRAEHENBUEHL, ET AL.		
		Art Unit	2166		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	4	Attorney Docket Number	SRE0001-US

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number; Kind Code <sup>2</sup> (if known)			
		US-5,191,522	03/02/1993	Bosco et al.	
		US-5,573,244	11/12/1996	Mindes	
		US-5,704,045	12/30/1997	King et al.	
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		First Named Inventor	KRAEHNBUHL, ET AL.
		Art Unit	2166
		Examiner Name	Not Yet Assigned
Sheet 2 of 4	Attorney Docket Number	SRE0001-US	

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		20020091553	07/11/2002	Callen et al.	
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		<b>Art Unit</b>	2166
		<b>Examiner Name</b>	Not Yet Assigned
		<b>Attorney Docket Number</b>	SRE0001-US
<b>Sheet</b>	<b>3</b>	<b>of</b>	<b>4</b>

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Examiner Signature		Date Considered	
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